

Using the gradient of human cortical bone properties to determine age-related bone changes via ultrasonic guided waves

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Abstract

Bone fragility depends not only on bone mass but also on bone quality (structure and material). To accurately evaluate fracture risk or propose therapeutic treatment, clinicians need a criterion which reflects the determinants of bone strength: geometry, structure and material. In human long bone, the changes due to aging, accentuated by osteoporosis are often revealed through the trabecularization of cortical bone, i.e. increased porosity of endosteal bone inducing a thinning of the cortex. Consequently, the intracortical porosity gradient corresponding to the spatial variation in porosity across the cortical thickness is representative of loss of mass, changes in geometry (thinning) and variations in structure (porosity).

This paper examines the gradient of material properties and its age-related evolution as a relevant parameter to assess bone geometry, structure and material. By applying a homogenization process, cortical bone can be considered as an anisotropic functionally graded material with variations in material

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properties. A semi-analytical method based on the sextic Stroh formalism is proposed to solve the wave equation in an anisotropic functionally graded waveguide for two geometries, a plate and a tube, without using a multilayered model to represent the structure. This method provides an analytical solution called the matricant and explicitly expressed under the Peano series expansion form.

Our findings indicate that ultrasonic guided waves are sensitive to the age-related evolution of realistic gradients in human bone properties across the cortical thickness and have their place in a multimodal clinical protocol.

Keywords: cortical bone, porosity gradient, elastic wave propagation, Stroh formalism, waveguide

1 **Introduction**

2 It is now widely accepted that bone strength relies on two main factors:
3 bone density and bone quality. Thus, accurate information is needed on
4 the quantity of bone, the way it is organized and the mechanical quality of
5 its constituent materials (elastic properties) in order to accurately evaluate
6 fracture risk, to optimize treatment (time and dosage) and to reduce adverse
7 effects. Nowadays, bone densitometry as determined by DXA (Dual-energy
8 X-ray Absorptiometry) is the gold standard technique used to diagnose os-
9 teoporosis and to decide on treatment. It provides a value for BMD (Bone
10 Mineral Density) which is compared to that of a reference population to as-
11 sess whether the patient is “normal”, presents with osteopenia or presents
12 with osteoporosis.

13

14 One of the fundamental challenges in bone characterization is to iden-
15 tify the relevant parameters, which have to be correlated to the pathology
16 and accessible through clinical measurements. Moreover, as with all tech-
17 nological developments for biomedical applications, it is essential to respect
18 certain criteria: techniques should be non-destructive, non-invasive and non-
19 radiating. Quantitative Ultrasound techniques are good candidates on all
20 these conditions. Yet, they continue to struggle for acceptance against the
21 gold standard of DXA analysis, partly because no single physical parameter
22 has been identified to represent the “structure, geometry, material” triangle.
23 For a long time now, it has been recognized that bone mass alone (Bone
24 Mineral Density) is insufficient to predict risk of fracture (Faulkner, 2000;
25 Robbins et al., 2005). It has been reported that BMD alone explains less

26 than half the risk of hip fractures (Marshall et al., 1996). Several studies
27 have revealed cases where the effect of BMD on risk of fracture is atypical.
28 Postmenopausal Chinese women, for example, have significantly lower hip
29 bone mineral density than white women and are classified at higher risk, but
30 in fact they have fewer fractures (Tobias et al., 1994; Xiaoge et al., 2000).

31 It would appear, then, that bone quantity alone is not sufficient to evalu-
32 ate bone fragility, and that bone geometry and quality are key factors which
33 significantly affect bone strength (Augat et al., 1996; Ammann and Rizzoli,
34 2003; Moilanen et al., 2007; Gregory and Aspden, 2008).

35 Moreover, even though BMD combines cortical and trabecular bone mass,
36 the majority of what is measured by DXA is trabecular bone. As a con-
37 sequence, osteoporosis treatments focus primarily on trabecular bone. Yet
38 while both bone compartments contribute to bone strength (Manske et al.,
39 2009), several recent studies point out that cortical bone is a critical com-
40 ponent in determining fracture resistance at the femoral neck (Augat and
41 Schorlemmer, 2006; Holzer et al., 2009; Treece et al., 2010).

42 At the same time, as imaging techniques become more and more accu-
43 rate, a newly visible characteristic of bone is emerging: intracortical porosity
44 changes gradually across the thickness of long bones (Bousson et al., 2001;
45 Tatarinov et al., 2005; Haïat et al., 2009; Grimal et al., 2011). When ho-
46 mogenization methods are applied to cortical bone, it can be viewed as a
47 functionally graded material at mesoscopic scale.

48

49 Among the changes in cortical bone due to aging, there is a joint process
50 accentuated by osteoporosis: trabecularization of the endosteal part leading

51 to thinning of the cortex. Therefore the gradient (spatial variation) of in-
52 tracortical porosity is a parameter representative of increased variation in
53 porosity across a reduced thickness, and should be relevant to evaluate the
54 combined effect of thinning and trabecularization. This gradient of intra-
55 cortical porosity induces gradients of material properties (mass density and
56 stiffness coefficients). Thus, characterizing the gradient of the bone prop-
57 erties across the cortical thickness, will provide information on structure
58 (porosity), geometry (thickness) and material (stiffness).

59

60 In this study, we consider the diaphysis of long bone, in particular cortical
61 bone. We model cortical bone as a one-phase material with varying me-
62 chanical properties (mass density and stiffness coefficients). Modeling how
63 porosity changes across the cortical thickness, and translating this variation
64 in a microscopic property to mesoscopic level, are complex tasks. We base
65 ourselves on two studies (Bousson et al., 2000; Grimal et al., 2011), and define
66 a mesoscopic functionally graded material (FGM) model. A semi-analytical
67 method is proposed to solve the wave equation in an FGM waveguide. This
68 method, based on the Stroh formalism, allows us to avoid a multilayered me-
69 dia approximation and to consider a cylindrical geometry in association with
70 an anisotropic material. According to numerous experimental studies (Reilly
71 and Burnstein, 1974; Dong and Guo, 2004; Lakshmanan et al., 2007), human
72 cortical bone is assumed to be a transversely isotropic material. Here cortical
73 bone is represented by a transversely isotropic plate or tube in vacuum. The
74 dispersion curves of the guided waves are explored to evaluate the sensitivity
75 of these waves to a realistic variation in intracortical porosity.

76 **Materials and Methods**

77 *Cortical bone as an anisotropic Functionally Graded Material waveguide*

78 The model takes into account the anisotropy and the heterogeneity of
79 cortical bone: it is considered as transversely isotropic with linearly varying
80 material properties. Moreover, two geometries are investigated for long bone
81 modeled as a plate or as a tube with realistic dimensions.

82 *Functionally Graded Material properties*

83 Here, every attempt was made to model realistic variation in porosity
84 across the cortical thickness. Based on previous work reported on femoral
85 cortical bone samples from skeletons (Bousson et al., 2000, 2001), we fo-
86 cus on a solely female population (86 subjects) aged from 11 to 96. We
87 use these authors' 3-point measurement of porosity (periosteal, mid-cortical
88 and endosteal regions) to infer the evolution of porosity across the cortical
89 thickness.

90 Then, the evolution of intracortical porosity (microscopic scale) is trans-
91 lated into a variation in the elastic properties of the bone at the mesoscopic
92 level by using the regression models (size of the mesodomain $L = 0.5$ mm)
93 proposed by Grimal and colleagues (Grimal et al., 2011). Thereby, the
94 Young's and shear moduli and the Poisson ratios are expressed as a func-
95 tion of porosity.

96 Porosity varies with position across the thickness of the bone, and conse-
97 quently the Young's and shear moduli and Poisson ratios are also dependent
98 on the spatial variable across the thickness (x -variable for the plate and r -
99 variable for the tube), except for ν_{TL} , which is assumed to be constant at

100 0.3.

101 Then we deduce the five independent stiffness coefficients as five spatially-
102 dependent functions from the following equations:

$$\begin{aligned} c_{11} &= \frac{E_T(1 - \nu_{TL}\nu_{LT})}{\Delta}; & c_{12} &= \frac{E_T(\nu_{TT} + \nu_{TL}\nu_{LT})}{\Delta}; \\ c_{13} &= \frac{E_T(\nu_{LT} + \nu_{TT}\nu_{LT})}{\Delta}; & c_{33} &= \frac{E_L(1 - \nu_{TT}\nu_{TT})}{\Delta}; \\ & & c_{44} &= G_{LT}; \end{aligned} \quad (1)$$

103 with $\Delta = \nu_{TT}^2 + 2\nu_{LT}\nu_{TL} + 2\nu_{LT}\nu_{TL}\nu_{TT}$.

104 Note the correspondence $1 \rightarrow T; 2 \rightarrow T; 3 \rightarrow L$ where L and T are *longitu-*
105 *dinal* and *transverse* respectively .

106 The degree of porosity (from 0 to 30%) does not disturb the crystal-
107 lographic symmetry of the material at the mesoscopic scale (Baron et al.,
108 2007): the thermodynamic conditions are still valid.

109 Figure 1 shows that the stiffness coefficients can be supposed to linearly
110 vary according to porosity across the cortical thickness for each age group. A
111 linear regression provides an affine function representing the evolution of the
112 stiffness coefficients across the cortical thickness. Thus, the elastic properties
113 vary from a maximum value in the periosteal region to a minimum value in
114 the endosteal region (Table 1).

115 A classical mixture law is used to obtain mass density as a function of
116 spatial variable ξ , where $\xi = x$ for the plate and $\xi = r$ for the tube. We
117 assume that the pores are filled with water considered as a perfect fluid:

$$\rho(\xi) = \rho_{bone}(1 - p(\xi)) + \rho_{water}p(\xi); \quad (2)$$

118 with p the porosity, $\rho_{bone} = 1.9 \text{ g/cm}^3$ and $\rho_{water} = 1 \text{ g/cm}^3$.

119 *Choice of waveguide geometry*

120 It was essential to set realistic parameters for the geometry of the model.
121 For a first approximation, long bone can be modeled as a plate, ignoring the
122 curvature effect on guided wave propagation (Lefebvre et al., 2002; Bossy
123 et al., 2004; Protopappas et al., 2006; Baron, 2011). However, a more realistic
124 shape for long bone is a tube (Protopappas et al., 2007), and here both ge-
125 ometries were investigated. For the plate, the set of parameters was reduced
126 to the thickness, taken as decreasing with age (Bousson et al., 2001)(Table
127 2). For the tube, one of the parameters known to influence guided wave
128 propagation is the ratio of thickness over outer radius (Nishino et al., 2001;
129 Baron, 2011). Here too, thickness was taken from (Bousson et al., 2001).
130 Previous findings (Carter et al., 1996; Feik et al., 2005) have established that
131 the outer diameter remains the same after 30 years; in this study, it is fixed
132 at 24 mm and the thinning of the cortical wall with age is represented by an
133 increase in the inner diameter to reach the thickness measured by Bousson
134 and colleagues (Bousson et al., 2001).

135 *Ultrasonic guided waves*

136 We consider an elastic waveguide (plate or tube) of thickness t placed in
137 vacuum. The coordinate systems (x, y, z) for the plate and (r, θ, z) for the
138 tube are defined with the z -axis corresponding to the axis of the long bone
139 and x and r representing the spatial variables along the cortical thickness.

140 The variable x describes the thickness of the plate from 0 to t . The radius
141 of the tube r varies from a_0 to a_q , respectively the inner and outer radius of
142 the tube (Figure 2). In order to simplify the notation we use the variable ξ
143 where $\xi = x, r$.

144

145 The elastic waveguide is considered to be anisotropic and is liable to
 146 present continuously varying properties across its thickness (\mathbf{e}_x -axis or \mathbf{e}_r -
 147 axis). These mechanical properties are represented by the stiffness tensor
 148 $\mathbb{C} = \mathbb{C}(\xi)$ and the mass density $\rho = \rho(\xi)$.

149 *System equations*

150 The momentum conservation equation associated with the constitutive
 151 law of linear elasticity (Hooke's law) gives the following equations:

$$\begin{cases} \operatorname{div} \boldsymbol{\sigma} = \rho \frac{\partial^2 \mathbf{u}}{\partial t^2}, \\ \boldsymbol{\sigma} = \frac{1}{2} \mathbb{C} (\operatorname{grad} \mathbf{u} + \operatorname{grad}^T \mathbf{u}), \end{cases} \quad (3)$$

152 where \mathbf{u} is the displacement vector and $\boldsymbol{\sigma}$ the stress tensor.

- 153 • for the plate

154 We assume that the structure is two-dimensional and that the guided
 155 waves travel in the plane $y = 0$; in the following, this coordinate is
 156 implicit and is omitted in the mathematical expressions. Solutions are
 157 sought for the vectors of displacement (\mathbf{u}) and traction ($\boldsymbol{\sigma}_x = \boldsymbol{\sigma} \cdot \mathbf{e}_x$)
 158 expressed in the cartesian coordinates (x, z) with the basis $\{\mathbf{e}_x, \mathbf{e}_z\}$:

$$\begin{aligned} \mathbf{u}(x, z; t) &= \mathbf{U}(x) \exp i(k_z z - \omega t), \\ \boldsymbol{\sigma}_x(x, z; t) &= \mathbf{T}(x) \exp i(k_z z - \omega t); \end{aligned} \quad (4)$$

159 with k_z the axial wavenumber. The modes propagating in such a struc-
 160 ture are called Lamb modes. We distinguish two types of Lamb modes:
 161 symmetrical (S-modes) and anti-symmetrical branches (A-modes) (Lamb,
 162 1917).

163 • for the tube

164 We seek to solve the wave equation for displacement vector (\mathbf{u}) and ra-
165 dial traction vector ($\boldsymbol{\sigma}_r = \boldsymbol{\sigma} \cdot \mathbf{e}_r$) expressed in the cylindrical coordinates
166 (r, θ, z) with the basis $\{\mathbf{e}_r, \mathbf{e}_\theta, \mathbf{e}_z\}$:

$$\begin{aligned}\mathbf{u}(r, \theta, z; t) &= \mathbf{U}^{(n)}(r) \exp i(n\theta + k_z z - \omega t), \\ \boldsymbol{\sigma}_r(r, \theta, z; t) &= \mathbf{T}^{(n)}(r) \exp i(n\theta + k_z z - \omega t); \end{aligned} \quad (5)$$

167 with k_z the axial wavenumber and n the circumferential wavenumber.
168 We distinguish two types of waves propagating in a cylindrical waveg-
169 uide: *circumferential waves* and *axial waves*. *Circumferential waves* are
170 waves traveling in planes perpendicular to the axis direction. They cor-
171 respond to $u_z(r) = 0 (\forall r)$, $k_z = 0$ and $n = k_\theta a_q$. *Axial waves* are waves
172 traveling along the axis direction, the circumferential wavenumber is
173 an integer $n = 0, 1, 2, \dots$. Among the *axial waves*, we distinguish three
174 types of modes numbered with two parameters (n, m) representing the
175 circumferential wavenumber and the order of the branches: longitudi-
176 nal (L), flexural (F) and torsional (T) modes. The longitudinal and
177 torsional modes are axially symmetric ($n = 0$) and denoted $L(0, m)$
178 and $T(0, m)$. The flexural modes are non-axially symmetric ($n \geq 1$)
179 and are denoted $F(n, m)$ (Gazis, 1959). In this paper, we focus on
180 longitudinal and first flexural modes ($n = 1$).

181 *A closed-form solution: the matricant*

182 Introducing the expression (4 or 5) into the equation (3), we obtain the
183 wave equation in the form of a second-order differential equation with non-
184 constant coefficients. In the general case, there is no analytical solution to the

185 problem thus formulated. Most current methods of solving the wave equa-
 186 tion in unidirectionally heterogeneous media are derived from the Thomson-
 187 Haskell method (Thomson, 1950; Haskell, 1953). These methods are appro-
 188 priate for multilayered structures (Kenneth, 1982; Lévesque and Piché, 1992;
 189 Wang and Rokhlin, 2001; Hosten and Castaings, 2003). However, for con-
 190 tinuously varying media, these techniques replace the continuous profiles of
 191 properties by step-wise functions, thereby making the problem approximate,
 192 even before the resolution step. The accuracy of the solution, like its valid-
 193 ity domain, are thus hard to evaluate. Moreover, a multilayered model of
 194 functionally graded waveguides creates “virtual” interfaces likely to induce
 195 artefacts. Lastly, for generally anisotropic cylinders, the solutions cannot be
 196 expressed analytically, even for homogeneous layers (Mirsky, 1964; Nelson
 197 et al., 1971; Soldatos and Jianqiao, 1994).

198 To solve the exact problem, that is, to maintain the continuity of the
 199 variation in properties, and to take into account the anisotropy of cylindri-
 200 cal waveguides, we write the wave equation under the sextic Stroh formalism
 201 (Stroh, 1962) in the form of an ordinary differential equations system with
 202 non-constant coefficients for which an analytical solution exists: the matri-
 203 cant (Pease, 1965; Baron, 2005).

204 *Hamiltonian form of the wave equation.* In the Fourier domain, the wave
 205 equation can be written as:

206 • for the plate

$$\frac{d}{dx}\boldsymbol{\eta}(x) = \mathbf{Q}(x)\boldsymbol{\eta}(x); \quad (6)$$

207 • for the tube

$$\frac{d}{dr}\boldsymbol{\eta}(r) = \frac{1}{r}\mathbf{Q}(r)\boldsymbol{\eta}(r). \quad (7)$$

208 The components of the state-vector $\boldsymbol{\eta}(\xi)$ are the components of the dis-
 209 placement vector \mathbf{u} and the components of the traction vector $\boldsymbol{\sigma}_\xi$. As for
 210 the matrix $\mathbf{Q}(\xi)$, it contains all the information about heterogeneity: it is
 211 expressed from the stiffness coefficients of the waveguide in the appropriate
 212 system of coordinates (cartesian for the plate and cylindrical for the tube)
 213 and from two acoustical parameters (wavenumbers, angular frequency, hor-
 214 izontal slowness). Detailed expressions of $\mathbf{Q}(\xi)$ are given in appendix a for
 215 the case of a material with hexagonal crystallographic symmetry; but it can
 216 be expressed for any type of anisotropy (Shuvalov, 2003).

217 *Explicit solution: the Peano expansion of the matricant.* The wave equation
 218 thus formulated has an analytical solution expressed between a reference
 219 point ξ_0 and some point along the cortical thickness direction ξ . This solution
 220 is called the matricant and is explicitly written in the form of the Peano series
 221 expansion:

$$\mathbf{M}(\xi, \xi_0) = \mathbf{I} + \int_{\xi_0}^{\xi} \mathbf{Q}(\varsigma) d\varsigma + \int_{\xi_0}^{\xi} \mathbf{Q}(\varsigma) \int_{\xi_0}^{\varsigma} \mathbf{Q}(\varsigma_1) d\varsigma_1 d\varsigma + \dots, \quad (8)$$

222 where \mathbf{I} is the identity matrix of dimension $(6, 6)$. If the matrix $\mathbf{Q}(\xi)$ is
 223 bounded in the study interval, these series are always convergent (Baron,
 224 2005). The components of the matrix \mathbf{Q} are continuous in ξ and the study
 225 interval is bounded (thickness of the waveguide), consequently the hypothesis
 226 is always borne out. The matricant verifies the propagator property (Baron,
 227 2005):

$$\boldsymbol{\eta}(\xi) = \mathbf{M}(\xi, \xi_0)\boldsymbol{\eta}(\xi_0). \quad (9)$$

228 *Free boundary conditions.* The waveguide is considered to be in vacuum, so
 229 the traction vector $\boldsymbol{\sigma}_\xi$ defined in (4 and 5) is null at both interfaces. Using the
 230 propagator property of the matricant through the thickness of the structure,
 231 equation (9) is written as $\boldsymbol{\eta}(\xi_0 + t) = \mathbf{M}(\xi_0 + t, \xi_0)\boldsymbol{\eta}(\xi_0)$ with $\xi_0 = 0$ for the
 232 plate and $\xi_0 = a_0$ for the tube. Factorizing the matricant $\mathbf{M}(\xi_0 + t, \xi_0)$ under
 233 four block matrices of dimension $(3, 3)$, equation 9 becomes:

$$\begin{pmatrix} \mathbf{u}(\xi = \xi_0 + t) \\ \mathbf{0} \end{pmatrix} = \begin{pmatrix} \mathbf{M}_1 & \mathbf{M}_2 \\ \mathbf{M}_3 & \mathbf{M}_4 \end{pmatrix} \begin{pmatrix} \mathbf{u}(\xi = \xi_0) \\ \mathbf{0} \end{pmatrix}. \quad (10)$$

234 Equation (10) has non-trivial solutions for $\det\mathbf{M}_3 = 0$. As detailed in
 235 appendix a for a transversely isotropic material and from equation (8), the
 236 components of \mathbf{M}_3 are bivariate polynomials in (s_z, ω) or (k_z, ω) . Conse-
 237 quently, seeking the zeros of $\det\mathbf{M}_3$ amounts to seeking the pairs of values
 238 (s_z, ω) or (k_z, ω) which describe the dispersion curves of guided waves prop-
 239 agating in a plate or a tube respectively.

240 **Results**

241 *Gradient of porosity*

242 The variation in porosity across the cortical thickness and its age-related
 243 evolution are presented in Table 2. Figure 3 shows that a linear profile
 244 is a good approximation to model porosity changes. For every age range,
 245 $p\% = a\xi + b$, where ξ is the spatial variable along the cortical thickness,
 246 $(a, b) \in \mathbb{R}^2$.

247 The porosity gradient ($\%/mm$) is deduced from an estimation of the slope a
 248 for each age class (Table 2).

249 Figure 3 clearly shows that porosity sharply increases with age in the
250 endosteal region, whereas it remains fairly stable in the periosteal region.
251 Moreover, cortical thickness greatly decreases with age, from adulthood to
252 old age. These two processes identified by Bousson (Bousson et al., 2000,
253 2001), are linked under the name trabecularization of the endosteal region.

254 The age-related evolution of the porosity gradient represented on figure 4
255 reveals an inverse trend compared to the evolution of BMD (Melton III et al.,
256 2000): it remains almost constant up to the 4th decade and then it increases
257 with advancing age. The regression is exponential, similar to the evolution
258 of the risk of fracture with age reported in the literature (Hui et al., 1988;
259 De Laet et al., 1997; Kanis et al., 2008).

260 *Sensitivity of guided waves to the gradient of material properties*

261 The effect of a realistic intracortical porosity gradient on guided wave
262 propagation was investigated to determine how sensitive the guided waves
263 are to the age-related evolution of long bone strength; in particular, whether
264 they are sensitive both to thinning of the cortex and to increased endosteal
265 porosity during aging. We compared the ultrasonic guided waves' interaction
266 with three planar waveguides and three tubular waveguides modeling the
267 diaphysis of the femur at three different age ranges: [30-39], [60-69] and [80-
268 99] (Bousson et al., 2001). Waveguides dimensions are reported in Table
269 3. The dispersion curves are plotted as functions of the frequency-thickness
270 product in the usual range for the study of ultrasonic waves in long bones
271 (Bossy et al., 2004; Muller et al., 2005; Tatarinov et al., 2005; Protopappas
272 et al., 2006). For guided waves in long bones, the typical frequency range is
273 between 50 kHz to 350 MHz (Moilanen et al., 2008) to generate wavelengths

274 greater than the cortical thickness (Bossy et al., 2004). Consequently, the
275 frequency-thickness product to be considered is roughly [0.2, 1.5] MHz.mm
276 for [30-39], [0.15, 1.1] MHz.mm for [60-69] and [0.125, 0.875] MHz.mm for
277 [80-99].

278 The dispersion curves of Lamb modes propagating in plates show mea-
279 surable differences throughout aging (Figure 5). The discrepancy between
280 the dispersion spectra obtained for each age range grows with the frequency-
281 thickness product. For example, at 1 MHz.mm, the phase velocity of the S_0
282 mode for the [80-99] age group is 6% lower than for the [30-39] age group,
283 the phase velocity of the A_2 mode for the [60-69] age group is 5% higher than
284 for the [80-99] age group and 10% lower than for the [30-39] age group. All
285 these differences correspond to several thousand meters per second, which
286 are experimentally measurable quantities.

287 The same trends can be seen from the dispersion curves of the longitu-
288 dinal and flexural modes propagating in the tubes (Figure 6). The cut-off
289 frequencies of all the modes are distinct for the three age ranges considered
290 (Table 4). The phase velocities are also significantly different: for instance,
291 the discrepancy between the $F(1, 3)$ -mode phase velocity for [80-99] and the
292 $F(1, 3)$ -mode phase velocity for [30-39] is about 420 m/s.

293 One of the critical parameters of long bone strength is cortical thickness.
294 To evaluate cortical thickness, Moilanen and his team showed the relevance
295 of considering the $F(1, 1)$ mode instead of the A_0 mode (Moilanen et al.,
296 2007). This is confirmed by our results on the group velocity of these two
297 modes calculated for the three age ranges (Figure 7).

298 It is clearly shown that around the frequency of 200 kHz used by Moilanen

299 and colleagues, the group velocity of A_0 mode is consistently different from
300 the group velocity of the $F(1, 1)$ mode and it appears that the group velocity
301 of the $F(1, 1)$ mode is very sensitive to the porosity gradient in the frequency
302 range considered.

303 **Discussion**

304 The Stroh formalism used in this study has several advantages. First, it
305 allows ultrasound propagation to be investigated in a continuously varying
306 medium (FGM) instead of approximating it by a multilayered medium, thus
307 avoiding potential round-off errors and artefacts which cannot be estimated.
308 It provides an exact solution to the exact problem, and the degree of round-off
309 error is manageable (Baron, 2005). Furthermore, this formalism is numer-
310 ically stable and is applicable to planar and tubular geometries whatever
311 the degree of anisotropy of the material. The conventional methods used to
312 solve the wave equation are unable to deal with cylindrical coordinates cou-
313 pled with general anisotropy. The Stroh formalism is one of the only ways
314 to provide an analytical solution (Peano expansion of the matricant) to the
315 wave equation in a cylindrical structure whatever the anisotropy of the ma-
316 terial (Shuvalov, 2003). Moreover, fluid-loading of the waveguide here can be
317 treated as in the case of the plate (Baron and Naili, 2010). The advantages
318 of this formalism in the context of bone characterization are clear, since long
319 bone can be realistically modeled as an FGM orthotropic tube surrounded
320 by blood and full of marrow. In addition, because this method takes into
321 account actual variations in material properties of long bones, it could prove
322 useful as a reference to validate models which do not allow for the gradient

323 of material properties, confirming the range of validity (frequency domain,
324 thickness range, order of the modes) of the results yielded by such simplified
325 models.

326 Bone fragility has long been known to be related to the quantity of mate-
327 rial (bone density), its quality (stiffness) and its organization (geometry and
328 micro-architecture). A accurate evaluation of fracture risk has to assess these
329 three parameters together. As cortical bone ages, endosteal trabecularization
330 induces thinning of the cortex. Thus, the spatial variation in porosity across
331 the cortical thickness revealed during aging can be taken as the "missing"
332 parameter to represent bone quality. This is confirmed by figure 4, which
333 illustrates an evolution in porosity gradient with age similar to the evolution
334 in risk of fracture reported in the literature for the vertebra (Cooper et al.,
335 1992) and for the hip (De Laet et al., 1997). As previously pointed out, the
336 gradient of material properties (density and stiffness coefficients) reflects the
337 spatial distribution of the quantity and quality of bone across the cortical
338 thickness. Looking at the dispersion curves obtained here for the plate and
339 for the tube, this discrepancy between the different age ranges appears to be
340 experimentally measurable. Thus, this study indicates that the gradient of
341 homogenized material properties can be evaluated from measured ultrasound
342 velocities.

343 Solving the inverse problem, however, will be tricky, and further work
344 will be required before this can be achieved. An accurate evaluation of the
345 various factors influencing bone strength would require a wider range of mea-
346 surements (other ultrasound frequencies, other imaging modalities).

347 Our work demonstrates the sensitivity of guided waves to realistic vari-

348 ations in the intrinsic properties of human cortical bone: porosity, density,
349 stiffness, as revealed by the gradient in material properties. Nevertheless, it
350 remains difficult to establish a reliable criterion to apply in a clinical protocol.
351 Careful consideration needs to be given to choosing appropriate anatomical
352 sites for ultrasonic evaluation. To avoid too much ultrasound absorption,
353 the most suitable sites are the phalanx, the radius and the tibia (Njeh et al.,
354 2001). These sites are long bones for which the question of the influence
355 of the curvature on wave propagation needs to be addressed (Baron, 2011).
356 The choice of geometric model - plate or tube - is particularly important in
357 pediatrics, since the thickness over outer radius ratio (t/a_q) of growing bone
358 is greater than 0.5. Thus, ultrasound evaluation is a promising alternative
359 technique in pediatrics.

360

361 Our model could usefully be extended. Several realistic characteristics can
362 easily be added to the formalism we use. Firstly, how soft tissue affects wave
363 propagation can be modeled by fluid-loading, as examined in a recent pa-
364 per (Baron and Naili, 2010). Secondly, the gradual variation in the intrinsic
365 properties of the bone matrix described in (Lakshmanan et al., 2007) can be
366 included in the homogenization step and would contribute to the mesoscopic
367 gradient of bone properties.

368 Furthermore it would be relevant to consider not only the variation in
369 “global” intracortical porosity (the ratio of the volume of pores over the to-
370 tal volume) but also the distribution of pore sizes and of the number of
371 pores across the cortical thickness. In (Bousson et al., 2001), it was noted
372 that increased endosteal porosity arises from an increase in the size of pores

373 rather than from an increase in the number of pores; this difference in the
374 organization of the microstructure may affect the mechanical behavior of the
375 bone.

376 **Conclusion**

377 The gradient of material properties appears here to be relevant to evaluat-
378 ing age-related changes in cortical bone, particularly in the context of osteo-
379 porosis and therapeutic follow-up. This paper describes an original method
380 applied to bone characterization able to take into account the heterogeneity
381 (porosity gradient) and the anisotropy (orthotropy) of the material as well
382 as the tubular geometry of the structure, even under *in-vivo* conditions (soft
383 tissue).

384 Ultrasound evaluation appears a good candidate to characterize long bone
385 (structure, geometry and material); however, the potential of *in-vivo* tech-
386 niques that take into account the influence of soft tissue and marrow needs
387 to be further explored.

388 The results we obtain are promising, but the method should be extended,
389 in particular with a view to solving the inverse problem. An *in-vitro* exper-
390 imental program would validate the feasibility of the ultrasound measure-
391 ments on bone samples of different ages. It could also evaluate the relevance
392 of using an *in-vivo* characterization of the gradient of properties across the
393 cortical thickness to determine bone strength and the risk of fracture.

394 **Appendix A**

$$\frac{d}{dx}\boldsymbol{\eta}(x) = \omega\mathbf{Q}(x)\boldsymbol{\eta}(x), \quad (.1)$$

395 Plate/tube

396

397 *Formalism for plate*

$$\frac{d}{dx} \begin{pmatrix} \omega\hat{u}_x \\ \omega\hat{u}_z \\ \hat{\sigma}_{xx} \\ \hat{\sigma}_{xz} \end{pmatrix} = \omega \begin{pmatrix} 0 & -c_{13}(x)/c_{11}(x)s_z & 1/c_{11}(x) & 0 \\ s_3 & 0 & 0 & 1/c_{55}(x) \\ \rho(x) & 0 & 0 & -s_z \\ 0 & \rho(x) - s_z^2\zeta(x) & -c_{13}(x)/c_{11}(x)s_z & 0 \end{pmatrix} \begin{pmatrix} \omega\hat{u}_x \\ \omega\hat{u}_z \\ \hat{\sigma}_{xx} \\ \hat{\sigma}_{xz} \end{pmatrix}, \quad (.2)$$

398 with the relations :

$$\zeta(x) = c_{33}(x) - \frac{c_{13}^2(x)}{c_{11}(x)}, \quad k_z = \omega s_z, \quad (.3)$$

399 where s_z is the \mathbf{z} -component of the slowness.

400 *Formalism for tube*

401 Expression of the vector $\boldsymbol{\eta}(r)$ and of the matrix $\mathbf{Q}(r)$ for a material with
402 hexagonal crystallographic symmetry (5 independent stiffness coefficients).

403 The symbol $\hat{\cdot}$ represents the quantities in the Fourier domain.

$$\boldsymbol{\eta}(r) = \left(\hat{u}_r(r), \hat{u}_\theta(r), \hat{u}_z(r), vr\hat{\sigma}_{rr}(r), vr\hat{\sigma}_{r\theta}(r), vr\hat{\sigma}_{rz}(r) \right)^T,$$

404

405 and

$$\mathbf{Q}(r) = \frac{1}{r} \begin{pmatrix} -\frac{c_{12}}{c_{11}} & -m\frac{c_{12}}{c_{11}} & & & \\ -m & 1 & & & \\ -ik_z r & 0 & & & \\ i(\gamma_{12} - r^2 \rho \omega^2) & -n\gamma_{12} & & & \dots \\ n\gamma_{12} & m^2 \gamma_{12} + r^2 (k_z^2 c_{44} - \rho \omega^2) & & & \\ k_z r \gamma_{23} & m k_z r (\gamma_{23} + c_{44}) & & & \end{pmatrix}$$

406

$$\dots \begin{pmatrix} -ik_z r \frac{c_{13}}{c_{11}} & -\frac{i}{c_{11}} & 0 & 0 \\ 0 & 0 & -\frac{i}{c_{66}} & 0 \\ 0 & 0 & 0 & \frac{i}{c_{44}} \\ -k_z r \gamma_{23} & \frac{c_{12}}{c_{11}} & -m & -ik_z r \\ m k_z r (\gamma_{123} + c_{44}) & -m \frac{c_{12}}{c_{11}} & -1 & 0 \\ m^2 c_{44} + r^2 (k_z^2 \gamma_{13} - \rho \omega^2) & -ik_z r \frac{c_{13}}{c_{11}} & 0 & 0 \end{pmatrix}$$

407 with $c_{66} = (c_{11} - c_{12})/2$ and

$$\gamma_{12} = c_{11} - \frac{c_{12}^2}{c_{11}}; \quad \gamma_{13} = c_{33} - \frac{c_{13}^2}{c_{11}}; \quad \gamma_{23} = c_{13} - \frac{c_{12}c_{13}}{c_{11}}.$$

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565 **Figure Captions**

566 **Figure 1:** Variation in stiffness coefficients over porosity: $c_{11} = c_{22}$ (\diamond),
567 c_{12} (\square), $c_{13} = c_{23}$ (Δ), c_{33} (\times), $c_{44} = c_{55}$ ($*$), c_{66} (\bullet).

568

569 **Figure 2:** Geometrical configuration of the waveguides.

570

571 **Figure 3:** Variation in porosity across the cortical thickness: linear regres-
572 sion for each age range ($R^2 \geq 0.9$).

573

574 **Figure 4:** Age-related evolution of the porosity gradient: exponential re-
575 gression ($R^2 = 0.93$).

576

577 **Figure 5:** Dispersion curves of Lamb modes propagating in a transversely
578 isotropic plate, for three age ranges: [30-39] straight line, [60-69] dots
579 and [80-99] dotted line.

580

581 **Figure 6:** Dispersion curves of the eight first longitudinal modes (in black)
582 and the ten first flexural (in grey) modes propagating in a transversely
583 isotropic tube, for three age ranges: [30-39] straight line, [60-69] dots
584 and [80-99] dotted line.

585

586 **Figure 7:** Group velocity of A_0 mode (in black) and $F(1,1)$ mode (in grey)
587 propagating in a transversely isotropic plate and tube respectively, for

588 three age ranges: [30-39] straight line, [60-69] dots and [80-99] dotted
589 line.

Tables

Table 1: Elastic properties of cortical bone at the periosteal boundary (per.) and at the endosteal boundary (end.).

| | | c_{11} | c_{12} | c_{13} | c_{33} | c_{44} | c_{66} | ρ |
|---------|------|----------|----------|----------|----------|----------|----------|----------------------|
| | | (GPa) | (GPa) | (GPa) | (GPa) | (GPa) | (GPa) | (g/cm ³) |
| [10-19] | per. | 26.33 | 10.73 | 11.25 | 34.17 | 8.30 | 7.80 | 1.88 |
| | end. | 25.05 | 10.22 | 10.80 | 32.72 | 7.83 | 7.41 | 1.84 |
| [20-29] | per. | 26.30 | 10.72 | 11.23 | 34.13 | 8.29 | 7.79 | 1.88 |
| | end. | 24.61 | 10.05 | 10.64 | 32.22 | 7.67 | 7.28 | 1.83 |
| [30-39] | per. | 26.10 | 10.64 | 11.16 | 33.90 | 8.22 | 7.73 | 1.87 |
| | end. | 24.40 | 9.97 | 10.57 | 31.99 | 7.60 | 7.22 | 1.83 |
| [40-49] | per. | 25.08 | 10.23 | 10.81 | 32.76 | 7.84 | 7.42 | 1.85 |
| | end. | 22.91 | 9.38 | 10.06 | 30.32 | 7.05 | 6.76 | 1.79 |
| [50-59] | per. | 25.08 | 10.23 | 10.81 | 32.76 | 7.84 | 7.42 | 1.85 |
| | end. | 22.06 | 9.04 | 9.76 | 29.36 | 6.74 | 6.51 | 1.77 |
| [60-69] | per. | 25.69 | 10.48 | 11.02 | 33.44 | 8.07 | 7.61 | 1.86 |
| | end. | 22.03 | 9.03 | 9.75 | 29.32 | 6.73 | 6.49 | 1.76 |
| [70-79] | per. | 25.05 | 10.22 | 10.80 | 32.72 | 7.83 | 7.41 | 1.84 |
| | end. | 20.09 | 8.27 | 9.08 | 27.15 | 6.02 | 5.91 | 1.71 |
| [80-99] | per. | 25.15 | 10.26 | 10.83 | 32.83 | 7.87 | 7.44 | 1.85 |
| | end. | 18.06 | 7.47 | 8.37 | 24.86 | 5.28 | 5.29 | 1.66 |

Table 2: Age-related regional evolution in intracortical porosity and gradient.

| | t (mm) | p% per. (%) | p% mid. (%) | p% end. (%) | <i>grad</i> (%/mm) |
|---------|-----------|----------------|----------------|----------------|-----------------------|
| [10-19] | 3.804 | 2.4 | 3.7 | 6.2 | 0.999 |
| [20-29] | 4.166 | 2.5 | 3.75 | 7.5 | 1.200 |
| [30-39] | 4.368 | 3.1 | 4.4 | 8.1 | 1.145 |
| [40-49] | 4.354 | 6.1 | 7.4 | 12.5 | 1.470 |
| [50-59] | 3.762 | 6.1 | 8 | 15 | 2.366 |
| [60-69] | 3.104 | 4.3 | 11.5 | 15.1 | 3.479 |
| [70-79] | 3.46 | 6.2 | 11.3 | 20.8 | 4.220 |
| [80-99] | 2.502 | 5.9 | 17.5 | 26.8 | 8.353 |

Table 3: Geometry of the waveguides for three age ranges.

| | thickness (plate or tube) | tube dimensions | | |
|---------|---------------------------|-----------------|------------|---------|
| | t (mm) | a_0 (mm) | a_q (mm) | t/a_q |
| [30-39] | 4.368 | 7.64 | 12 | 0.36 |
| [60-69] | 3.104 | 8.9 | 12 | 0.26 |
| [80-99] | 2.502 | 9.5 | 12 | 0.21 |

Table 4: Variations in cut-off frequencies for longitudinal and flexural modes with aging.

| | L(0,2) | L(0,3) | F(1,2) | F(1,3) | F(1,4) | F(1,5) |
|--------------------------|--------|--------|--------|--------|--------|--------|
| $\Delta f_{30/60}$ (kHz) | 4.9 | 88.3 | 2.9 | 4.5 | 87.2 | 80.8 |
| $\Delta f_{60/80}$ (kHz) | 3.4 | 60.3 | 2.2 | 4 | 60.1 | 59.7 |
| $\Delta f_{30/80}$ (kHz) | 8.3 | 148.6 | 5.1 | 8.4 | 147.3 | 140.5 |

Table 1: Elastic properties of cortical bone at the periosteal boundary (per.) and at the endosteal boundary (end.).

| | | c_{11} | c_{12} | c_{13} | c_{33} | c_{44} | c_{66} | ρ |
|---------|------|----------|----------|----------|----------|----------|----------|----------------------|
| | | (GPa) | (GPa) | (GPa) | (GPa) | (GPa) | (GPa) | (g/cm ³) |
| [10-19] | per. | 26.33 | 10.73 | 11.25 | 34.17 | 8.30 | 7.80 | 1.88 |
| | end. | 25.05 | 10.22 | 10.80 | 32.72 | 7.83 | 7.41 | 1.84 |
| [20-29] | per. | 26.30 | 10.72 | 11.23 | 34.13 | 8.29 | 7.79 | 1.88 |
| | end. | 24.61 | 10.05 | 10.64 | 32.22 | 7.67 | 7.28 | 1.83 |
| [30-39] | per. | 26.10 | 10.64 | 11.16 | 33.90 | 8.22 | 7.73 | 1.87 |
| | end. | 24.40 | 9.97 | 10.57 | 31.99 | 7.60 | 7.22 | 1.83 |
| [40-49] | per. | 25.08 | 10.23 | 10.81 | 32.76 | 7.84 | 7.42 | 1.85 |
| | end. | 22.91 | 9.38 | 10.06 | 30.32 | 7.05 | 6.76 | 1.79 |
| [50-59] | per. | 25.08 | 10.23 | 10.81 | 32.76 | 7.84 | 7.42 | 1.85 |
| | end. | 22.06 | 9.04 | 9.76 | 29.36 | 6.74 | 6.51 | 1.77 |
| [60-69] | per. | 25.69 | 10.48 | 11.02 | 33.44 | 8.07 | 7.61 | 1.86 |
| | end. | 22.03 | 9.03 | 9.75 | 29.32 | 6.73 | 6.49 | 1.76 |
| [70-79] | per. | 25.05 | 10.22 | 10.80 | 32.72 | 7.83 | 7.41 | 1.84 |
| | end. | 20.09 | 8.27 | 9.08 | 27.15 | 6.02 | 5.91 | 1.71 |
| [80-99] | per. | 25.15 | 10.26 | 10.83 | 32.83 | 7.87 | 7.44 | 1.85 |
| | end. | 18.06 | 7.47 | 8.37 | 24.86 | 5.28 | 5.29 | 1.66 |

Table 2: Age-related regional evolution in intracortical porosity and gradient.

| | t (mm) | p% per. (%) | p% mid. (%) | p% end. (%) | <i>grad</i> (%/mm) |
|---------|-----------|----------------|----------------|----------------|-----------------------|
| [10-19] | 3.804 | 2.4 | 3.7 | 6.2 | 0.999 |
| [20-29] | 4.166 | 2.5 | 3.75 | 7.5 | 1.200 |
| [30-39] | 4.368 | 3.1 | 4.4 | 8.1 | 1.145 |
| [40-49] | 4.354 | 6.1 | 7.4 | 12.5 | 1.470 |
| [50-59] | 3.762 | 6.1 | 8 | 15 | 2.366 |
| [60-69] | 3.104 | 4.3 | 11.5 | 15.1 | 3.479 |
| [70-79] | 3.46 | 6.2 | 11.3 | 20.8 | 4.220 |
| [80-99] | 2.502 | 5.9 | 17.5 | 26.8 | 8.353 |

Table 3: Geometry of the waveguides for three age ranges.

| | thickness (plate or tube) | tube dimensions | | |
|---------|---------------------------|-----------------|------------|---------|
| | t (mm) | a_0 (mm) | a_q (mm) | t/a_q |
| [30-39] | 4.368 | 7.64 | 12 | 0.36 |
| [60-69] | 3.104 | 8.9 | 12 | 0.26 |
| [80-99] | 2.502 | 9.5 | 12 | 0.21 |

Table 4: Variations in cut-off frequencies for longitudinal and flexural modes with aging.

| | L(0,2) | L(0,3) | F(1,2) | F(1,3) | F(1,4) | F(1,5) |
|--------------------------|--------|--------|--------|--------|--------|--------|
| $\Delta f_{30/60}$ (kHz) | 4.9 | 88.3 | 2.9 | 4.5 | 87.2 | 80.8 |
| $\Delta f_{60/80}$ (kHz) | 3.4 | 60.3 | 2.2 | 4 | 60.1 | 59.7 |
| $\Delta f_{30/80}$ (kHz) | 8.3 | 148.6 | 5.1 | 8.4 | 147.3 | 140.5 |

Figure1
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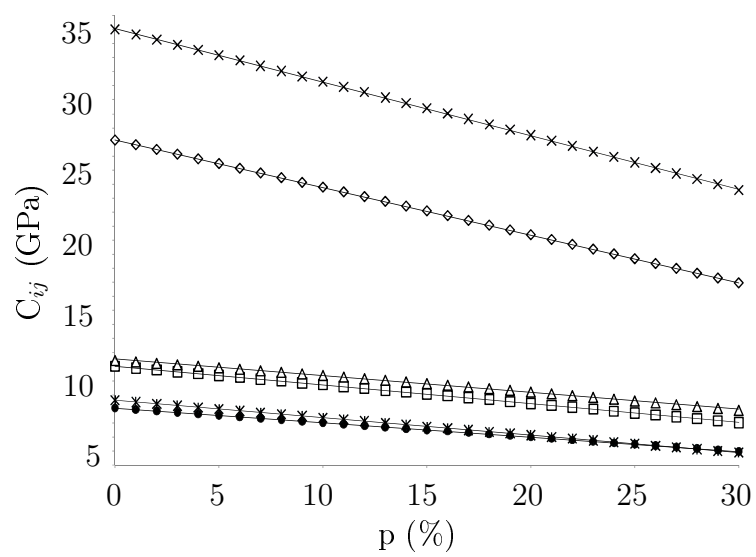


Figure2

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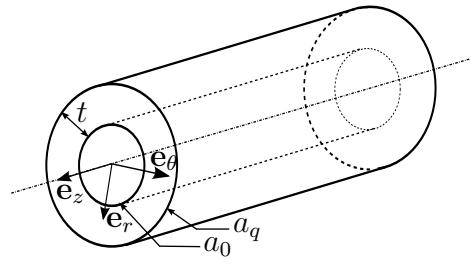
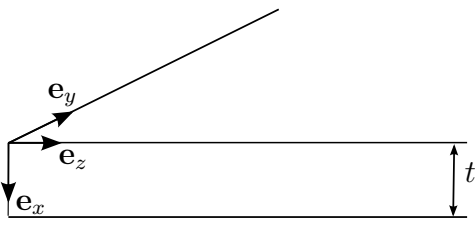


Figure3
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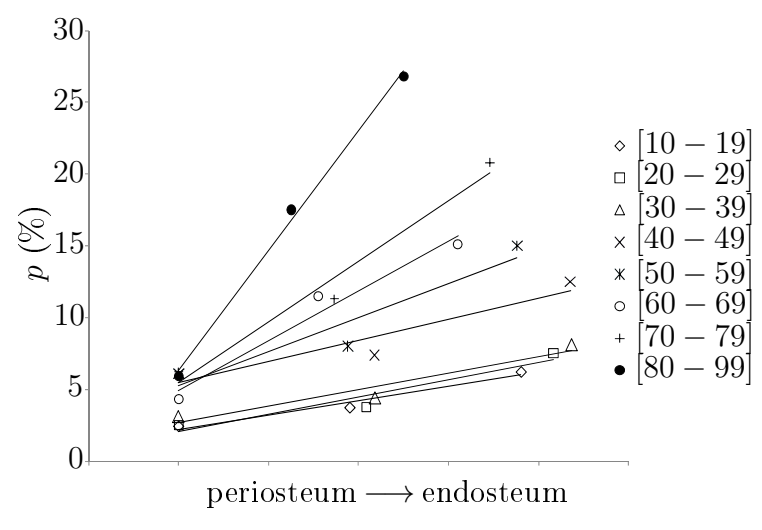


Figure4

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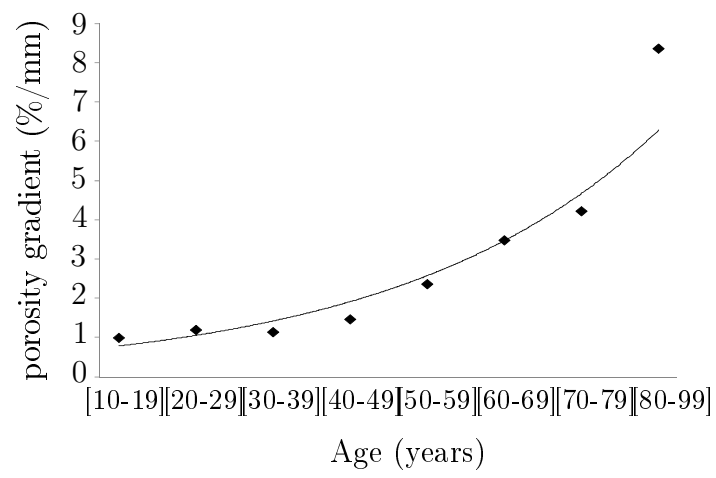


Figure5
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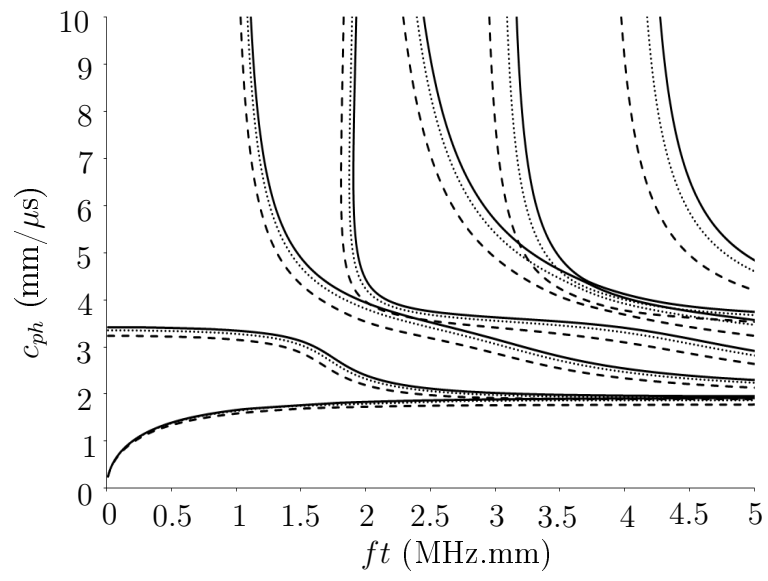


Figure6
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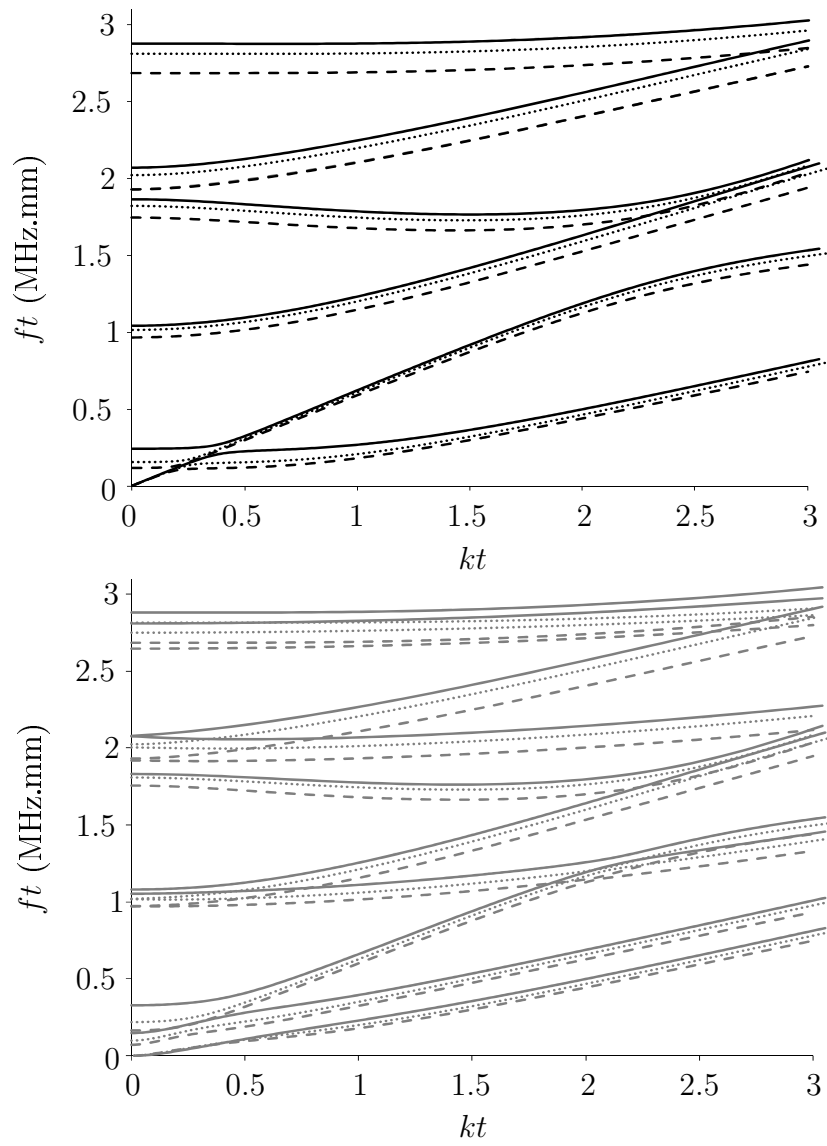


Figure7

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